

A Remark on the Definition of the Partial Derivative

Sunday Oluyemi

**Department of Pure & Applied Mathematics, Ladoké Akintola University of Technology,
 PMB 4000, Ogbomosho, Nigeria.**

Abstract

This short note simply furnishes the puritanical definition of the partial derivative, and offers two clarifications on this definition.

Keywords: Interval, ball, partial derivative.
 2010 Amer. Math. Soc. Subject Classification 26 Real Functions

1.0 Introduction

Our language and notations shall be pretty standard as found for example in [2, 3, 4, 7, 8]. By \mathbb{R} we shall mean the *real numbers* and by \mathbb{N} the *natural numbers* 1, 2,, If $n \in \mathbb{N}$ and $n \geq 2$, by \mathbb{R}^n we shall mean the *Cartesian space* $\mathbb{R} \times \mathbb{R} \times \dots \times \mathbb{R}$, (n factors). \mathbb{R}^n with the *Euclidean norm* $\| \cdot \|$ [8, p.206] is called the *Euclidean n -space* [7, 2.19, p. 51]. If I_1, I_2, \dots, I_n are intervals in \mathbb{R} , the Cartesian product

$$I_1 \times I_2 \times \dots \times I_n (\subseteq \mathbb{R}^n) \quad \dots(\Delta)$$

is called an *interval* in \mathbb{R}^n ; an interval (Δ) in which the *sides* I_1, I_2, \dots, I_n are finite intervals in \mathbb{R} is called a *cell* [8, First paragraph p.52] with $I_k, k = 1, 2, \dots, n$, called the *kth side* of the cell. An *open interval / open cell* is one with all its sides open intervals of \mathbb{R} .

Let $a = (a_1, a_2, \dots, a_n) \in \mathbb{R}^n$. By the *Euclidean norm* of $a, \|a\|$, is meant $\sqrt{a_1^2 + a_2^2 + \dots + a_n^2}$ [8, p. 206] and if $r \in \mathbb{R}, r > 0$, by a *ball of radius r centered on a* , $B(a, r)$, is meant the set $\{x \in \mathbb{R}^n : \|x - a\| < r\}$ [2, 3.3, p.49] referred to in [4, Definition 59.1, p. 211] as an *r -neighbourhood of a* . If $\emptyset \neq A \subseteq \mathbb{R}^n$ and $a \in A$, a is called an *interior point of A* [9, Definition 59.2, p.211] [2, Definition 3.5, p.49] if there exists $r > 0$ such that $B(a, r) \subseteq A$.

If $\delta > 0$ and $a \in \mathbb{R}$, by $N_\delta(a)$ shall be meant the open interval $(a - \delta, a + \delta)$ called the *δ -neighbourhood of a* . $N'_\delta(a) = (a - \delta, a + \delta) - \{a\}$, is called the *deleted δ -neighbourhood of a* .

2.0 Sequential Characterization of Limit

Let $\emptyset \neq A \subseteq \mathbb{R}$ and $x_0 \in \mathbb{R}$ (not necessarily belonging to A) be a point of accumulation of A . The number L is called the *limit* of the real function $f : A \rightarrow \mathbb{R}$ at x_0 provided whenever given $\varepsilon > 0$ there exists a $\delta(\varepsilon) > 0$ such that $x \in A$ and $0 < |x - x_0| < \delta(\varepsilon) \Rightarrow |f(x) - L| < \varepsilon \quad \dots (\rho)$

And we write $\lim_{x \rightarrow x_0} f(x) = L$.

Let $\emptyset \neq A \subseteq \mathbb{R}$ and $x_0 \in \mathbb{R}$ be a point of accumulation of A . Let $L \in \mathbb{R}$ and $f : A \rightarrow \mathbb{R}$. Then, $L = \lim_{x \rightarrow x_0} f(x) \Leftrightarrow$ for every sequence $(x_n)_{n=1}^\infty$ in $A - \{x_0\}$ converging to x_0 , the sequence $(f(x_n))_{n=1}^\infty$ converges to L . ///

Corresponding author: Sunday Oluyemi, E-mail: soluyemi@lautech.edu.ng., Tel.: +2348102016571

THEOREM 1 (i) Let $\emptyset \neq B \subseteq A \subseteq \mathbb{R}$ and suppose $x_0 \in \mathbb{R}$ is a point of accumulation of B , and that $f : A \rightarrow \mathbb{R}$ has limit L at x_0 . Then, the restriction

$f|_B : B \rightarrow \mathbb{R}, x \mapsto f(x), x \in B$
of f to B also has limit L at x_0 .

(ii) Let $\emptyset \neq A \subseteq \mathbb{R}$ and suppose x_0 is a point of accumulation of A . If $f : A \rightarrow \mathbb{R}$ has limit at x_0 , then x_0 is still a point of accumulation of $A - \{x_0\}$ and the restriction $f^* : A - \{x_0\} \rightarrow$

$\mathbb{R}, f^*(x) = f(x), x \in A - \{x_0\}$, of f to $A - \{x_0\}$, also has limit at x_0 , and

$$\lim_{x \rightarrow x_0} f^*(x) = \lim_{x \rightarrow x_0} f(x).$$

Proof (i) is immediate from (p) above while (ii) follows from (i). ///

The converse of THEOREM 1 is false as one shows easily by simple examples. That is, $\lim_{x \rightarrow x_0} (f|_B)(x)$ exists does not

necessarily imply that $\lim_{x \rightarrow x_0} f(x)$ exists. For an instance,

Example 2 Consider the function

$$f : \mathbb{R} \rightarrow \mathbb{R}$$

$$x \mapsto \begin{cases} 0, & \text{if } x \text{ is rational} \\ 1, & \text{if } x \text{ is irrational} \end{cases}$$

Let \mathbb{Q} be the rationals. By the Density Theorems of Elementary Real Analysis, 5 is a point of accumulation of \mathbb{Q} . Clearly, $\lim_{x \rightarrow 5} (f|_{\mathbb{Q}})(x)$ exists, since $f|_{\mathbb{Q}}$ is the constant function

$$\kappa_0 : \mathbb{Q} \rightarrow \mathbb{R}, x \mapsto 0 \text{ for all } x \in \mathbb{Q},$$

and we know that $\lim_{x \rightarrow 5} \kappa_0(x)$ exists and $= 0$. But, $\lim_{x \rightarrow 5} f(x)$ does not exist.

We furnish here a converse of THEOREM 1.

A CONVERSE (Sunday Oluyemi[6, Theorem B p.108]) Suppose $\emptyset \neq B \subseteq A \subseteq \mathbb{R}, f : A \rightarrow \mathbb{R}$ and $x_0 \in \mathbb{R}$ a point of accumulation of B . If there exists $\delta^* > 0$ such that $B \supseteq$

$$N'_{\delta^*}(x_0) \cap A, \text{ then if } \lim_{x \rightarrow x_0} (f|_B)(x) \text{ exists so does } \lim_{x \rightarrow x_0} f(x), \text{ and } \lim_{x \rightarrow x_0} f(x) = \lim_{x \rightarrow x_0} (f|_B)(x).$$

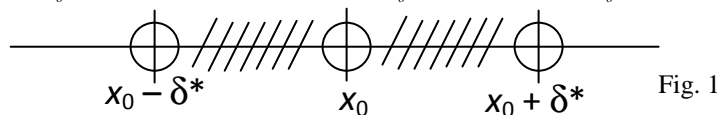


Fig. 1

Proof Let $\epsilon > 0$. By hypothesis, there exists $\delta'(\epsilon) > 0$ such that

$$x \in N'_{\delta'(\epsilon)}(x_0) \cap B \Rightarrow |(f|_B)(x) - L| < \epsilon \quad \dots(\nabla)$$

where $L = \lim_{x \rightarrow x_0} (f|_B)(x)$. Let $\delta(\epsilon) = \min \{ \delta'(\epsilon), \delta^* \}$.

Then,

$$B \supseteq N'_{\delta^*}(x_0) \cap A \supseteq N'_{\delta(\epsilon)}(x_0) \cap A \quad \dots(\Delta)$$

and

$$N'_{\delta(\epsilon)}(x_0) \supseteq N'_{\delta(\epsilon)}(x_0) \quad \dots(\Delta\Delta)$$

and so by (Δ) and (ΔΔ),

$$x \in N'_{\delta(\epsilon)}(x_0) \cap A \Rightarrow x \in N'_{\delta(\epsilon)}(x_0) \cap B \quad \dots(\nabla\nabla)$$

(∇) and (∇∇) now give

$$x \in N'_{\delta(\epsilon)}(x_0) \cap A \Rightarrow |f(x) - L| < \epsilon$$

and so $\lim_{x \rightarrow x_0} f(x)$ exists and $\lim_{x \rightarrow x_0} f(x) = \lim_{x \rightarrow x_0} (f|B)(x)$. ///

A careful application of the Sequential Characterization of Limit furnishes another proof. ///

COROLLARY Suppose $I \subseteq \mathbb{R}$ is an interval, $x_0 \in I$ and $f : I \rightarrow \mathbb{R}$. Suppose the restriction to $I - \{x_0\}$ of f ,

$f|I - \{x_0\} : I - \{x_0\} \rightarrow \mathbb{R}, x \mapsto f(x)$ for all $x \in I - \{x_0\}$, has limit L at x_0 . Then, f has limit L at x_0 .

Proof Immediate. ///

Let I be an interval of \mathbb{R} and $a \in I$. Clearly, a is a point of accumulation of $I - \{a\}$. The real function $f : I \rightarrow \mathbb{R}$ is said to be *differentiable at a* if the function

$$f^{*a} : I - \{a\} \rightarrow \mathbb{R}, x \mapsto \frac{f(x) - f(a)}{x - a}, x \in I - \{a\}$$

has limit at a [2, 3]. If so, $\lim_{x \rightarrow a} f^{*a}(x)$ is called the *derivative of f at a* and denoted $f'(a)$. That is,

$$\lim_{x \rightarrow a} f^{*a}(x) = f'(a).$$

We shall use /// to signify the end or absence of a proof.

3.0 A Characterization of Differentiability

Before stating the characterization of differentiability of this section, we give some language and notations found in its statement.

So, let I be an interval and $a \in I$. Then, clearly, a moment's thought shows that

- (i) there exists an interval J_{al} such that
- (ii) $0 \in J_{al}$, and
- (iii) $\kappa : J_{al} \rightarrow I, h \mapsto a + h, h \in J_{al}$ is a bijection see Figure 1.

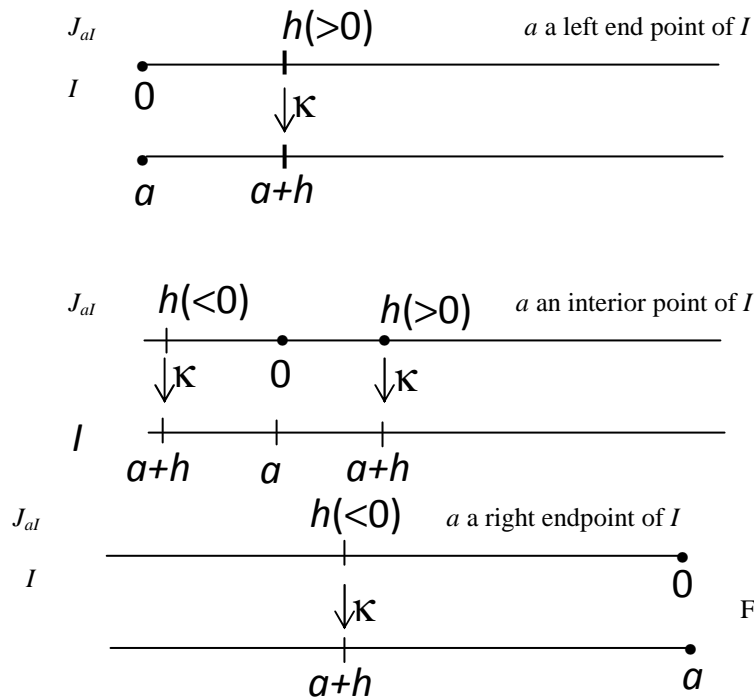


Fig. 1

Let $f : I \rightarrow \mathbb{R}$, and define the function

$$f^{*J_{al}} : J_{al} - \{0\} \rightarrow \mathbb{R},$$

$$h \mapsto \frac{f(a+h) - f(a)}{h}$$

Now to the characterization.

The Characterization [7] Let I be an interval and $a \in I$. A function $f : I \rightarrow \mathbb{R}$ is differentiable at $a \Leftrightarrow$ the function

$$\left. \begin{aligned} f^{*a} : I - \{a\} &\rightarrow \mathbb{R}, \\ h &\mapsto \frac{f(a+h) - f(a)}{h} \end{aligned} \right\} \dots (*)$$

has limit at 0.

If (*) holds, then $\lim_{h \rightarrow 0} f^{*a}(h)$ usually written $\lim_{h \rightarrow 0} \frac{f(a+h) - f(a)}{h}$ equals $f'(a)$. ///

4.0 The Partial Derivative

This section furnishes the *two clarifications* advertised in the abstract.

I Puritanical Definition of the Partial Derivative The derivative $f'(a)$ of the real function $f : I \rightarrow \mathbb{R}, \emptyset \neq I \subseteq \mathbb{R}$, is defined only at points a of a domain I **which is an interval** [3, Definition 6.1.1, p.158][2, Definition 5.1, p.104]

just as solutions of ordinary differential equations are sought over intervals of \mathbb{R} and not over arbitrary subsets of \mathbb{R} .

Precisely, from Section 2, f is differentiable at a provided $\lim_{x \rightarrow a} f^{*a}(x)$ exists where

$$\begin{aligned} f^{*a} : I - \{a\} &\rightarrow \mathbb{R}, \\ x &\mapsto \frac{f(x) - f(a)}{x - a} \end{aligned}$$

And, we define

$$f'(a) = \lim_{x \rightarrow a} f^{*a}(x) \dots (*)$$

This informs why, for the definition of, say, the *first partial derivative*, $D_1f(a)$, at a of $f : A \rightarrow \mathbb{R}, \emptyset \neq A \subseteq \mathbb{R}^n, n \geq 2, a = (a_1, a_2, \dots, a_n) \in A$ **to make sense, there is the need for the existence of an interval** I_1 , say, of \mathbb{R} such that $a_1 \in I_1$ and $I_1 \times \{a_2\} \times \{a_3\} \times \dots \times \{a_n\} \subseteq A$. Then, following (*) we define

$$\begin{aligned} f^{p1} : I_1 &\rightarrow \mathbb{R}, x \mapsto f(x, a_2, \dots, a_n), x \in I_1 \\ \text{and} \\ f^{p1*a_1} : I_1 - \{a_1\} &\rightarrow \mathbb{R}, \\ x &\mapsto \frac{f^{p1}(x) - f^{p1}(a_1)}{x - a_1} = \frac{f(x, a_2, \dots, a_n) - f(a_1, a_2, \dots, a_n)}{x - a_1} \end{aligned}$$

And, then, define

$$D_1f(a) \equiv \lim_{x \rightarrow a_1} f^{p1*a_1}(x)$$

Similarly, to define $D_2f(a)$ there is the need to have an interval I_2 in \mathbb{R} such that $a_2 \in I_2$ and $\{a_1\} \times I_2 \times \{a_3\} \times \dots \times \{a_n\} \subseteq A$. And then define

$$\begin{aligned} f^{p2} : I_2 &\rightarrow \mathbb{R}, x \mapsto f(a_1, x, a_3, \dots, a_n), x \in I_2 \\ \text{and} \\ f^{p2*a_2} : I_2 - \{a_2\} &\rightarrow \mathbb{R}, \\ x &\mapsto \frac{f^{p2}(x) - f^{p2}(a_2)}{x - a_2} = \frac{f(a_1, x, a_3, \dots, a_n) - f(a_1, a_2, \dots, a_n)}{x - a_2} \end{aligned}$$

And, then, define the second partial derivative, $D_2f(a)$, of f at a , by

$$D_2f(a) \equiv \lim_{x \rightarrow a_2} f^{p2*a_2}(x)$$

The requirements for and the definitions of $D_3f(a), \dots, D_n f(a)$, are now clear. These are the puritanical definitions.

If $a \in A$ is interior, then IMMEDIATE 1(i) of [5] provides an open interval $I = I_1 \times I_2 \times \dots \times I_n$, say, indeed, an open cell, such that

$$a = (a_1, a_2, \dots, a_n) \in I = I_1 \times I_2 \times \dots \times I_n \subseteq A.$$

And then, $D_1f(a), D_2f(a), \dots, D_nf(a)$, are all simultaneously definable since the requirement that the domains I_1, I_2, \dots, I_n of $f^{p_1}, f^{p_2}, \dots, f^{p_n}$ respectively, be intervals of \mathbb{R} are now simultaneously met. And the OBCT of [5] here comes up with the first of the advertised clarifications — *the need and existence of an interval I_k , say, in \mathbb{R} , on which f^{p_k} is defined, with $a_k \in I_k$, $a = (a_1, a_2, \dots, a_n)$.*

II The Directional Derivative Route Ironically, many a good author, if not all, do not employ the puritanical definition of the partial derivative in **I** to define the partial derivative. The need for and the existence of the interval $I_k, k = 1, 2, \dots, n$, are **not known to the literature**. The route to the literature’s definition of the partial derivative has always been through the *directional derivative*, defining the *kth partial derivative* as the directional derivative in the direction of the *kth* fundamental vector $e_k = (0, 0, \dots, 1, \dots, 0)$ [The 1 is in the *kth* position]. Our task here in this section is to show that the definition of the partial derivative arrived at through this route is equivalent to the puritanical definition in **I**. So, we first give a brief description of the directional derivative. The reader is assumed to be familiar with the rudiments of *Calculus in \mathbb{R}^n* , as found, for example, in [9].

Consider $\mathbb{R}^n, n \geq 2$. The elements $e_1 = (1, 0, \dots, 0), e_2 = (0, 1, 0, \dots, 0), \dots, e_n = (0, 0, \dots, 0, 1)$, of \mathbb{R}^n are called the *fundamental vectors* of \mathbb{R}^n . $\theta = (0, 0, \dots, 0) \in \mathbb{R}^n$ is called the *zero vector* of \mathbb{R}^n . Clearly, $e_k \neq \theta$ for all $k = 1, 2, \dots, n$.

Let $\emptyset \neq A \subseteq \mathbb{R}^n, n \in \mathbb{N}, n \geq 2$, and $a = (a_1, a_2, \dots, a_n) \in A$ interior to A . By the IMMEDIATE 1(i) of [5] there exists a finite open interval $I = I_1 \times I_2 \times \dots \times I_n$, say, about a and $I \subseteq A$ [about a means $a = (a_1, a_2, \dots, a_n) \in I_1 \times I_2 \times \dots \times I_n$]. Suppose $I_1 = (x_1, y_1), I_2 = (x_2, y_2), \dots, I_n = (x_n, y_n)$, where $x_1, y_1, x_2, y_2, \dots, x_n, y_n \in \mathbb{R}, x_1 < y_1, x_2 < y_2, \dots, x_n < y_n$, and so,

$$x_1 < a_1 < y_1, x_2 < a_2 < y_2, \dots, x_n < a_n < y_n.$$

Let

$$\delta = \min\{a_1 - x_1, y_1 - a_1, a_2 - x_2, y_2 - a_2, \dots, a_n - x_n, y_n - a_n\} > 0.$$

Suppose $u = (u_1, u_2, \dots, u_n) \in \mathbb{R}^n, u \neq \theta$, and so $\|u\| > 0$. Therefore, if $t \in \mathbb{R}$ and

$$|t| < \frac{\delta/2}{\|u\|}$$

we shall have

$$x_k < a_k - \frac{\delta}{2} < a_k - |t| \|u\| \leq a_k - |t| |u_k|$$

$$\leq \begin{cases} a_k + tu_k \\ a_k - tu_k \end{cases} \leq a_k + |t| |u_k|$$

$$\leq a_k + |t| \|u\| < a_k + \frac{\delta}{2} < y_k,$$

$k = 1, 2, \dots, n$. Let $\frac{\delta/2}{\|u\|} = \varepsilon$. We have thus shown that

THEOREM Suppose $\emptyset \neq A \subseteq \mathbb{R}^n, a$ an interior point of $A, u \in \mathbb{R}^n, u \neq \theta$ and so $\|u\| > 0$. Then, there exists a finite open interval I about a , and an $\varepsilon > 0$ such that $|t| < \varepsilon \Rightarrow a + tu \in I \subseteq A$. ///

Let $\emptyset \neq A \subseteq \mathbb{R}^n$, $n \geq 2$, $\theta \neq u \in \mathbb{R}^n$, $f : A \rightarrow \mathbb{R}$, and $a \in A$ an interior point of A . By the preceding THEOREM, there exists a finite open interval I and an $\varepsilon > 0$ such that

$$|t| < \varepsilon \Rightarrow a + tu \in I \subseteq A$$

Hence,

$$-\varepsilon < t < \varepsilon \Rightarrow a + tu \in I \subseteq A.$$

Hence, in particular,

$$t \in N'_\varepsilon(0) \Rightarrow a + tu \in I \subseteq A.$$

Therefore, the function

$$f^{Du} : N'_\varepsilon(0) \rightarrow \mathbb{R}$$

$$t \mapsto \frac{1}{t}(f(a + tu) - f(a))$$

with domain the deleted ε -neighbourhood of 0 in \mathbb{R} , $N'_\varepsilon(0)$, is well-defined. Of course, 0 is a point of accumulation of $N'_\varepsilon(0)$. If $\lim_{t \rightarrow 0} f^{Du}(t)$ exists it is called the *directional derivative of f at a in the direction of u* , and denoted $D_u f(a)$.

Let $\emptyset \neq A \subseteq \mathbb{R}^n$, $n \in \mathbb{N}$, $n \geq 2$, $a \in A$ an interior point of A , and $f : A \rightarrow \mathbb{R}$. The directional derivatives of f at a , $De_1 f(a)$, $De_2 f(a)$, ..., $De_n f(a)$, in the direction of the fundamental vectors

e_1, e_2, \dots, e_n , respectively, are called in the literature the *partial derivatives of f at a* . $D_1 f(a) = De_1 f(a)$ is called the *first partial derivative of f at a* , $D_2 f(a) = De_2 f(a)$ is called the *second partial derivative of f at a* , ..., $D_n f(a) = De_n f(a)$ is called the *n th partial derivative of f at a* .

III Equivalence of the Route and the Puritanical Definition Let us re-examine the definition of $D_k f(a) = De_k f(a)$ for $k \in \{1, 2, \dots, n\}$. So let $\emptyset \neq A \subseteq \mathbb{R}^n$, $a = (a_1, a_2, \dots, a_n) \in A$ interior to A and $f : A \rightarrow \mathbb{R}$. By what was shown in II, there exists a finite open interval $I = I_1 \times I_2 \times \dots \times I_n$ of \mathbb{R}^n and an $\varepsilon > 0$ such that

$$|t| < \varepsilon \Rightarrow a + te_k \in I \subseteq A, k = 1, 2, \dots, n.$$

That is,

$$|t| < \varepsilon \Rightarrow (a_1, a_2, \dots, a_n) + t(0, 0, \dots, 0, 1, 0, \dots, 0) \in I_1 \times I_2 \times \dots \times I_n \subseteq A.$$

That is,

$$|t| < \varepsilon \Rightarrow (a_1, a_2, \dots, a_{k-1}, a_k + t, a_{k+1}, \dots, a_n) \in I_1 \times I_2 \times \dots \times I_n \subseteq A, k = 1, 2, \dots, n.$$

Hence,

$$|t| < \varepsilon \Rightarrow a_k + t \in I_k, k = 1, 2, \dots, n. \quad \dots(\nabla)$$

and so,

$$t \in N'_\varepsilon(0) \Rightarrow a_k + t \in I_k (k = 1, 2, \dots, n.) \quad \dots(\Delta)$$

By definition,

$$D_k f(a) = De_k f(a) = \lim_{t \rightarrow 0} f^{De_k}(t)$$

where

$$f^{De_k} : N'_\varepsilon(0) \rightarrow \mathbb{R}$$

$$t \mapsto \frac{1}{t}(f(a + te_k) - f(a))$$

So,

$$\begin{aligned} D_k f(a) &= \lim_{t \rightarrow 0} f^{De_k}(t) = \lim_{t \rightarrow 0} \frac{f(a + te_k) - f(a)}{t} \\ &= \lim_{t \rightarrow 0} \frac{f((a_1, a_2, \dots, a_n) + t(0, 0, \dots, 0, 1, 0, \dots, 0)) - f((a_1, a_2, \dots, a_n))}{t} \end{aligned}$$

$$= \lim_{t \rightarrow 0} \frac{f((a_1, a_2, \dots, a_{k-1}, a_k + t, a_{k+1}, \dots, a_n)) - f((a_1, a_2, \dots, a_n))}{t}$$

which clearly,

$$= \lim_{t \rightarrow 0} \frac{F(a_k + t) - F(a_k)}{t} = \lim_{h \rightarrow 0} \frac{F(a_k + h) - F(a_k)}{h}$$

where

$$F : N_\epsilon(0) \rightarrow \mathbb{R}$$

$$x \mapsto f((a_1, a_2, \dots, a_{k-1}, x, a_{k+1}, \dots, a_n)).$$

By (V), clearly,

$$N_\epsilon(0) \subseteq J_{a_k I_k} \quad \dots(\rho)$$

Define

$$F^* : J_{a_k I_k} \rightarrow \mathbb{R},$$

$$x \mapsto f((a_1, a_2, \dots, a_{k-1}, x, a_{k+1}, \dots, a_n))$$

Clearly, $F = F^*|_{N_\epsilon(0)}$.

By (ρ) and A Converse of Section 2, therefore

$$D_k f(a) = \lim_{h \rightarrow 0} \frac{F(a_k + h) - F(a_k)}{h}$$

$$= \lim_{h \rightarrow 0} \frac{F^*(a_k + h) - F^*(a_k)}{h}$$

That is,

$$D_k f(a) = \lim_{h \rightarrow 0} \frac{F^*(a_k + h) - F^*(a_k)}{h} \quad \dots(\rho\rho)$$

Now

$$F^{**} J_{a_k I_k} : J_{a_k I_k} - \{0\} \rightarrow \mathbb{R},$$

$$h \mapsto \frac{F^*(a_k + h) - F^*(a_k)}{h}$$

So, by the Characterization of differentiability

$$\lim_{h \rightarrow 0} F^{**} J_{a_k I_k} (h) = F^{*'}(a_k)$$

$$= \lim_{x \rightarrow a_k} \frac{F^*(x) - F^*(a_k)}{x - a_k}$$

$$= \lim_{x \rightarrow a_k} \frac{f(a_1, a_2, \dots, a_{k-1}, x, a_{k+1}, \dots, a_n) - f(a_1, \dots, a_n)}{x - a_k}$$

$$= \lim_{x \rightarrow a_k} f^{pk^* a_k}(x), \text{ which is the puritanical definition}$$

And we have finished furnishing the second clarification advertised in the abstract.

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