

## Computation of the Fraction of Energy Transfer to the Plasma during Neutral Beam Injection using the Test-Particle Approach

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### Abstract

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*The slowing down process of fast ions in plasma due to dynamical friction and diffusion in velocity space between the fast ions and the plasma during Neutral Beam Injection (NBI) is studied using the Test-Particle approach. The instantaneous Slowing down as well as the whole slowing down from the fast ion birth velocity to its thermalisation is described. The fraction of the fast ion energy that is transferred to the plasma electrons  $F_e$ , and to the ions  $F_i$ , after complete slow down where obtained. A computer program FRACT in Fortran was written to obtain the relationship between  $F_e$ ,  $F_i$ , and the injection energy ( $W_{b0}$ ), as well as the critical energy ( $W_c$ ). It was found that as the fast ion slows down the fraction of energy going to the electrons  $F_e$  gradually decreases, while the fraction that goes to the ions  $F_i$  increases, consistent with literature. The effect of varying the temperature of the plasma was studied, it was found that the beam energy  $W_b$  at which  $F_e = F_i = 0.5$  increases with temperature, and is given by  $W_b = 2.55W_c$  for the range of temperature considered. This is consistent with  $W_b = 2.41W_c$  found in literature.*

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**Keywords:** Birth velocity, Critical energy, Test-Particle, Birth velocity.

### 1.0 Introduction

The Slowing down process of fast injected neutral beams can be studied through two complimentary approaches, the Test-Particle approach and the Fokker-Planck ones, where the latter allows for the computation of the fast ion distribution function, while the former allows one to compute the fraction of energy going to the plasma components from first principle [1]. Based on the experimental results on the energetic particle heating in the present tokamaks, it is expected that the energetic fusion produced, alpha-particles in the International Experimental Thermonuclear Reactor (ITER) type plasmas will be well confined to heat plasma efficiently and their slowing down and energy transfer to the main plasma will be defined by classical Coulomb collisions with the background electrons and ions [2]. Current work in test particle theory described the instantaneous slowdown of an energetic ion in a plasma, analytically. In this work a simple program FRACT is written and used to compute the fraction of energy given to the plasma ions ( $F_i$ ) and electrons ( $F_e$ ) at the end of complete slowdown. Section (1.0) gives the introduction. In section (2.0), the test-particle theory which describes the time evolution of the instantaneous and complete fast ion energy due to slowdown in plasma is given. Section (3.0) contains the methodology. In section (4.0) we have the results, and finally section (5.0) comprises of the discussions and conclusion.

### 2.0 Test-Particle Theory

Starting from the classical theory of binary Coulomb collision by Spitzer [3], and Sivukhin [4], the slowing down or energy decrease of a test particle due to background species plasma, with Maxwellian distribution was given by Stix [5] as

$$\frac{dW_b}{dt} = -\frac{2W_b}{\tau_s} \left[ 1 + \left( \frac{W_c}{W_b} \right)^{3/2} \right] \quad (1)$$

Where  $W_b$  is the instantaneous particle beam energy,  $W_c$  is the critical energy, and  $\tau_s$  the Spitzer slowing down time for the electrons. These are given by:

$$W_c = \left( \frac{3Z\sqrt{\pi}}{4} \right)^{2/3} \left( \frac{M}{m_e} \right)^{1/3} \left( \frac{M_b}{M} \right) T_e = 14.8 T_e M_b \left( \frac{1}{n_e} \frac{\sum_i n_i Z_i^2}{M_i} \right)^{2/3} \quad (2)$$

$$\tau_s = 0.012 \frac{(T_e [keV])^{3/2} M_b}{n_e [10^{20} m^{-3}] Z_b^2} \quad (3)$$

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Where  $T_e$  is the plasma temperature,  $M_b$  the mass of the injected beam,  $M_i$  is the mass of the plasma ion, and  $n_e$  the plasma density. The first term in the square bracket of equation (1), corresponds to energy transfer to the electrons and the second one to the background ions. When  $W_c = W_b$ , an equal amount of power is transferred to electrons and ions. When  $W_b \gg W_c$ , Eq. (1) becomes much simpler

$$\frac{dW_b}{dt} = -\frac{2W_b}{\tau_s} \tag{4}$$

In this case all the energy is transferred to the electrons. Eqns. (1 and 4) describe the instantaneous slowing down of an ion in the plasma.

In order to describe completely the whole slowing down process from birth velocity to thermal velocity, the fraction of the total energy that goes on to the electrons  $F_e$  and to the ions  $F_i$  after complete slowing-down are evaluated. The instantaneous power transferred to the ions is given by[1]

$$P_i = -\frac{2W_b}{\tau_s} \left(\frac{W_c}{W_b}\right)^{3/2} \tag{5}$$

while the energy transferred to the ions during the whole slowing down process is

$$W_i = \int_0^\infty P_i dt \tag{6}$$

Eq. (1) is re-written as [1]

$$-\frac{2}{\tau_s} dt = \frac{dW_b}{W_b \left[1 + \left(\frac{W_c}{W_b}\right)^{3/2}\right]} = \frac{dy}{y \left(1 + y^{-3/2}\right)}, \tag{7}$$

where  $y = \frac{W_b}{W_c}$ , and  $dy = \frac{dW_b}{W_c}$

From Eqns. (5,6, and 7), the fraction of energy going to the plasma ions is given by

$$F_i = \frac{W_i}{W_{b0}} \tag{8}$$

where  $W_{b0}$  is the beam injection energy.

$$F_i = \frac{2}{W_{b0}} \int_0^\infty W_b \left(\frac{W_c}{W_b}\right)^{3/2} \frac{dt}{\tau_s} = -\frac{1}{W_{b0}} \int_0^\infty W_b \left(\frac{W_c}{W_b}\right)^{3/2} \left(\frac{-2}{\tau_s}\right) dt \tag{9}$$

Substituting eqn. (7) into (9) we have

$$F_i = -\frac{1}{W_{b0}} \int_0^{\frac{W_{b0}}{W_c}} W_b \left(\frac{W_c}{W_b}\right)^{3/2} \frac{dy}{y \left(1 + y^{-3/2}\right)} \tag{10}$$

Using  $y = \frac{W_b}{W_c}$ , eqn. (10) becomes

$$F_i = -\frac{W_c}{W_{b0}} \int_0^{\frac{W_{b0}}{W_c}} y (y)^{-3/2} \frac{dy}{y \left(1 + y^{-3/2}\right)} \tag{11a}$$

$$F_i = -\frac{W_c}{W_{b0}} \int_0^{\frac{W_{b0}}{W_c}} \frac{dy}{(1 + y^2)} \tag{11b}$$

$$F_i = \frac{W_c}{W_{b0}} \int_0^{\frac{W_{b0}}{W_c}} \frac{dy}{(1 + y^2)} \tag{11c}$$

and the fraction of energy going to the electrons ( $F_e$ ) is given by

$$F_e = 1 - F_i \tag{12}$$

The total energy transfer to plasma ions and electrons are equal for an injection energy of  $W = 2.41 W_c$  [6]. For a higher injection energy, electrons are heated predominantly and vice versa.

For computing the total energy that goes to the ions and electrons in a plasma, and the fraction of energy that goes to them during the slow-down process, a simple program named FRACT is written in Fortran, that solves Eqns. (11 and 12).(see the appendix)

### 3.0 Methodology

A program named FRACT was written in Fortran to solve Eqns. (11 and 12). For given injection energy  $W_{b0} = 3.5 \text{ MeV}$ , and a plasma at given temperatures,  $T_e = 5, 10, 15 \text{ and } 20 \text{ keV}$  and density  $n_e = 10^{20} \text{ m}^{-3}$ , the critical energy  $W_c$  was calculated using eqn. (2). The program was run for different values of plasma temperature  $T_e$ , with fixed injection energy  $W_{b0}$ . The procedure was then repeated for different injection energies  $W_{b0} = 180 \text{ keV}$ . The output was plotted using a computer software known as Origin 5.0.

Table 1.0 Variation of Critical energy  $W_c$  with temperature  $T_e$ ,  $W_{b0} = 3.5 \text{ MeV}$ ,

S/N	$T_e$ / keV	$W_c$ / keV
1	5	165.21
2	10	330.42
3	15	495.64
4	20	660.86

Table 2.0 Variation of Critical energy  $W_c$  with temperature  $T_e$ ,  $W_{b0} = 180 \text{ KeV}$

S/N	$T_e$	$W_c$
1	5	71.20
2	10	142.30
3	15	213.45
4	20	284.60

### 4.0 Results

The output from the program FRACT for the fraction of total energy going to the ions and electrons when an energetic beam of fast ions with energy  $W_{b0} = 3.5 \text{ MeV}$  slows down in a plasma consisting of ions and electrons of varying temperatures is as shown in Figs. 1-4 below. The graphs are seen to compare very well with that obtained from literature that is shown in fig. 5. A similar graph is shown for a 180 keV fast ion decaying in a 5 keV plasma in Fig. 6.

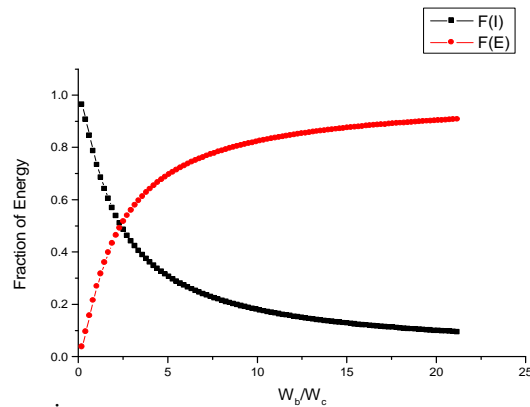


Fig.1: Fractions  $F_e$  and  $F_i$  of the beam ions energy going respectively to electrons and to ions during the slowing down process.  $T_e = 5 \text{ keV}$ ,  $W_c = 165.21 \text{ keV}$ ,  $W_{b0} = 3.5 \text{ MeV}$  beam.

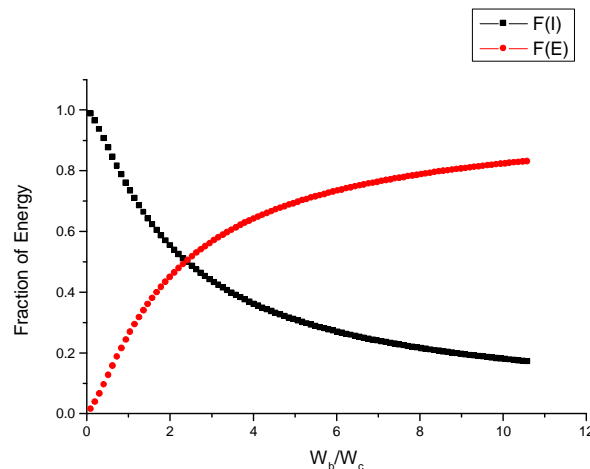


Fig.2: Fractions  $F_e$  and  $F_i$  of the beam ions energy going respectively to electrons and to ions during the slowing down process.  $T_e = 10 \text{ keV}$ ,  $W_c = 330.42 \text{ keV}$ ,  $W_{b0} = 3.5 \text{ MeV}$  beam.

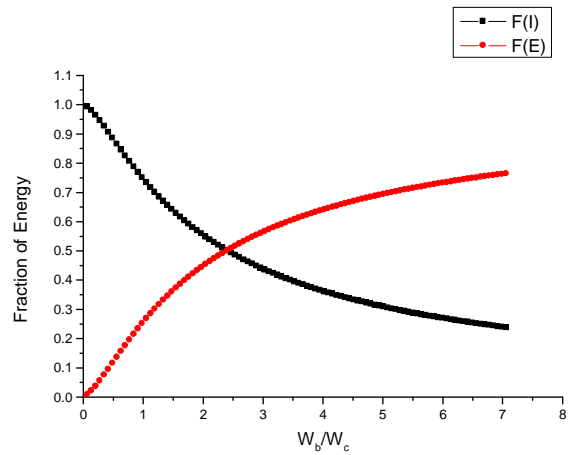


Fig.3. Fractions  $F_e$  and  $F_i$  of the beam ions energy going respectively to electrons and to ions during the slowing down process.  $T_e = 15 \text{ keV}$ ,  $W_c = 495.64 \text{ keV}$ ,  $W_{b0} = 3.5 \text{ MeV}$  beam.

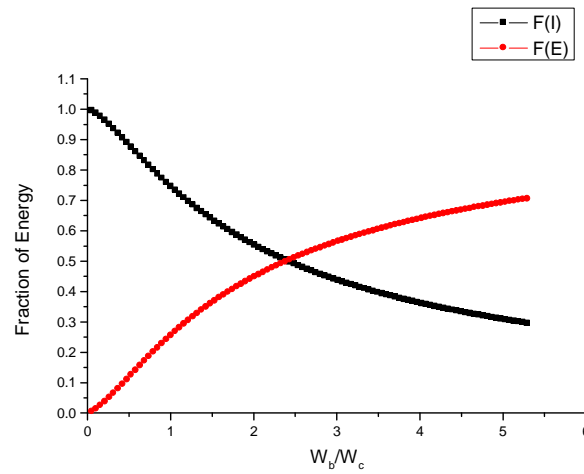


Fig.4. Fractions  $F_e$  and  $F_i$  of the beam ions energy going respectively to electrons and to ions during the slowing down process.  $T_e = 20 \text{ keV}$ ,  $W_c = 660.86 \text{ keV}$ ,  $W_{b0} = 3.5 \text{ MeV}$  beam.

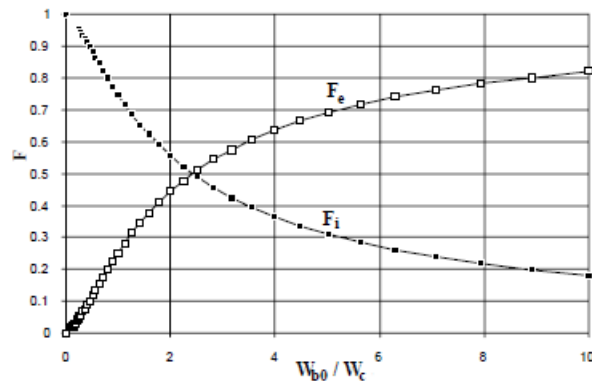


Fig.5. Fractions  $F_e$  and  $F_i$  of the beam ions energy going respectively to electrons and to ions during the slowing down process.[1]

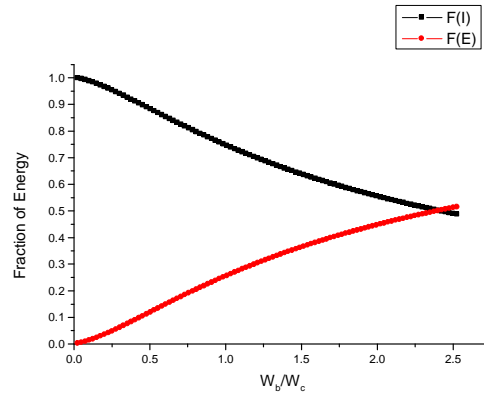


Fig.6. Fractions Fe and Fi of the beam ions energy going respectively to electrons and to ions during the slowing down process.  $T_e = 5 \text{ keV}$ ,  $W_c = 71.20 \text{ keV}$ , for a  $180 \text{ keV}$  beam.

### 5.1 Discussions

In Fig. 1, it can be seen that equal amount or fraction of energy is transferred to both ions and electrons when  $W_b = 2.5W_c$ . In Fig. 2 where  $T_e = 10 \text{ keV}$ ,  $W_b = 2.8W_c$  for equal fraction of energy to be transferred to both ions and electron. In Fig. 3, where  $T_e = 15 \text{ keV}$ ,  $W_b = 2.4W_c$  for equal fraction of energy to be transferred to both ions and electron. In Fig. 4 where  $T_e = 20 \text{ keV}$ ,  $W_b = 2.5W_c$  for equal fraction of energy to be transferred to both ions and electron. Finally in Fig. 6 where  $T_e = 5 \text{ keV}$ ,  $W_b = 2.5W_c$  for equal fraction of energy to be transferred to both ions and electron in a plasma injected with  $180 \text{ keV}$  beam. These values of  $W_b$  are in close agreement with  $W_b = 2.41W_c$  found in literature[6].

### 5.2 Conclusion

The program FRACT was used to compute the fractions of energy going to both ions, and electrons. From the graphs obtained it was found that when the total injected energy is greater than the critical energy, more energy goes to the electrons than the ions, and as the injected energy decreases, the fraction going to the electrons decreases while the fraction going to the ions increases. The fraction of energy going to both the ions and the electrons was found to be equal when the total injected energy was found to be approximately  $W_b = 2.55W_c$ , which is close to the theoretical value of  $W_b = 2.41W_c$ , found in literature. The difference between our results and that from literature may be due the numerical integration used in FRACT. A better quadrature method may give better result.

### Appendix

The program FRACT

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c This is the program FRACT for computing the fraction of injected beam energy going
c to the plasma ions Fi and electrons Fe
c The ratio of the injection energy wb to the critical energy wc is dimensioned as y(2000)
c wb0 is the injected energy of the beam at the start in keV
c wbo is the array representing the injected beam as it slows down in keV
c wc is the critical energy in keV
  real y(2000),wbo(100)

c the choice of wb0, and wc are user supplied
  wb0=3500
  wc=165.21
  do 1 j=1,100
    wbo(j)=j/100.*wb0
    dy=wbo(j)/wc/1000
c numerical integration of dy/(1 + y**1.5) from 0 to wb0/wc
  y(1)=0.
  sumf=dy
  do 2 i=2,1000
    y(i)=i*dy
    sumf= sumf+dy/(1.+y(i)**1.5)

```

```

2    continue
c Fi is the fraction of energy going to the plasma ions
    fi=wc/wbo(j)*sumf
c Fe is the fraction of energy going to the plasma electrons
    fe=1.-fi
    open(2,file='fract.dat',status='unknown')
c a file fract is open for output fi, and fe
    write(2,200)fi,fe
200  format(1x,2f16.5)
    1    continue
c the variation of injected energy with slowdown is written to the output file fract
    write(2,300)wbo
300  format(1x,f16.5)
    stop
    end

```

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