

## The Topologies of Uniform Convergence are the Topologies Generated by Collections of Lower Semicontinuous Seminorms

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### *Abstract*

***The topologies of uniform convergence are the topologies generated by collections of lower semicontinuous seminorms.***

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### 1.0 Introduction

By a *lcs*  $(E, \tau)$  [6, Abbreviation 8-1-12, p.105] we shall mean a separated *locally convex space* [6, First two lines of Section 7-1, p.91][2, Definition 2. 4.1, p.86]. Our terminologies shall be standard as found, for example, in [1], [5], [2] and [6]. We signify with *///* the end or absence of a proof. Finally, the first number  $x$  in each square bracket, as in  $[x, \dots, \dots]$ , refers to reference number  $[x]$  listed at the end of the paper.

### 2.0 Seminorm Generators

All topological vector spaces are over  $\mathbf{K} = \mathbb{R}$  or  $\mathbb{C}$ , the real field or the complex field. Of course,  $\mathbb{R}$  and  $\mathbb{C}$ , with their usual topologies, are locally convex spaces in their own right. If  $\Phi$  is a collection of topologies on a non-empty set  $E$ , say, by  $\vee\Phi$ , pronounced *sup* $\Phi$  [6, Theorem1-6-8, p.11], we denote the *supremum* of  $\Phi$ . If  $E$  is a vector space and  $p : E \rightarrow \mathbb{R}$  a seminorm on  $E$ , then following Wilanky [6, Definition 4-1-7, p.38], by  $\sigma p$  we denote the pseudometric topology of  $p$  which is a vector topology by [6, Example 4-1-2, p.37]; indeed locally convex [1, Lemaa (37.10), p.149]. If  $P$  is a collection of seminorms on  $E$ , by  $\sigma P$  we mean  $\vee\{\sigma p : p \in P\}$ . If  $\tau$  is a vector topology and  $\tau = \sigma P$ , then we say that  $\tau$  is *generated* by  $P$  [6, Theorem 7-2-2 and paragraph preceding Theorem 7-2-4, p.94] [5, Definition, p.107][1, Definition (37.9), p.149] and may write the locally convex space  $(E, \tau)$  as  $(E, \sigma P)$ . If  $E$  is a non-empty set with  $\tau_1, \tau_2$  topologies on  $E$ , by  $\tau_1 \leq \tau_2$  we shall mean that  $\tau_1$  is coarser than  $\tau_2$ .

### 3.0 Dual Pair

Let  $X$  and  $Y$  be vector spaces over the same field  $\mathbf{K} = \mathbb{R}$  or  $\mathbb{C}$ . A mapping

$$B : X \times Y \rightarrow \mathbf{K} \quad \dots(\Delta)$$

of the Cartesian product  $X \times Y$  into  $\mathbf{K}$  is called a *bilinear form* or a *bilinear functional* if for every  $x \in X$  and every  $y \in Y$  the mappings

$$\begin{aligned} B_x : Y \rightarrow \mathbf{K} & \quad \text{and} \quad B_y : X \rightarrow \mathbf{K} \\ z \mapsto B(x, z) & \quad \quad \quad z \mapsto B(z, y) \end{aligned}$$

are linear functionals [1, Definition (38.1), p.155]. Bilinear forms abound. Of particular importance is the bilinear form from the Cartesian product  $E \times E^\#$  of a vector space  $E$  over  $\mathbf{K}$  and its vector space  $E^\#$  of linear forms on it, called its *algebraic dual*:  $B : E \times E^\# \rightarrow \mathbf{K}$ ,  $B(x, f) = f(x)$  for all  $f \in E^\#$  and all  $x \in E$ . If  $(E, \tau)$  is a topological vector space, the linear space of continuous linear forms on  $(E, \tau)$ , denoted  $E'$  or  $(E, \tau)'$ , is called its *continuous dual*. With  $E^\#$  replaced by  $E'$  above,  $B$  is also a bilinear form.

If in  $(\Delta)$  the bilinear form  $B$  has the property [1, Definition (38.1)(v) and (vi), p.155] that for  $x \in X$ ,  $B(x, y) = 0$  for all  $y \in Y \Rightarrow x$  is the zero of  $X$ , it is said to *separate the points* of  $X$ . Similarly,  $B$  is said to *separate the points* of  $Y$  if for  $y \in Y$ ,  $B(x, y) = 0$  for all  $x \in X \Rightarrow y$  is the zero of  $Y$ . If  $B$  separates the points of  $X$  and the points of  $Y$ , then the triple  $(X, Y, B)$  is said to constitute a *separated dual pair* or simply a *dual pair* or a *dual system* [2, Definition 3.2.1, p.183] and this indicated by

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writing  $\langle X, Y \rangle$ , and for  $x \in X, y \in Y$ , write  $B(x, y)$  as  $\langle x, y \rangle$ . For an instance, if  $(E, \tau)$  is a lcs, then the canonical bilinear form  $B : E \times E' \rightarrow \mathbf{K}, B(x, f) = f(x)$ , for all  $x \in E$  and all  $f \in E'$ , gives a separated dual pair  $\langle E, E' \rangle$  [6, 8-2-2, p.107].

#### 4.0 Barrels of A Dual Pair

Let  $\langle X, Y \rangle$  be a dual pair, assumed, henceforth, always separated, and  $\tau$  a locally convex topology on  $X$ .  $\tau$  is said to be compatible with  $\langle X, Y \rangle$  [6, Definition 8-2-8, p.108][2, Definition 3.4.1, p.198] provided  $(X, \tau)' = \hat{Y}$ , where  $\hat{Y} = \{ \hat{y} : y \in Y, \hat{y}(x) = \langle x, y \rangle, x \in X \text{ i.e. } \hat{y} = B^y \}$ . By  $\sigma(X, Y) / \sigma(Y, X)$  is meant the weak topology by the maps  $\hat{Y} / \hat{X}$ , and it is compatible with  $\langle X, Y \rangle$ , and is indeed the smallest compatible [6, Theorem 8-2-12, p.108][2, Last line of Example 3.4.2, p.198, and, first two lines of paragraph preceding Proposition 3.2.3, p.187].

If  $(E, \tau)$  is a lcs, an absolutely convex, absorbing and closed subset of  $(E, \tau)$  is called a barrel of  $(E, \tau)$  [6, Definition 3-3-1, p.32][2, Definition 3.5.2, p.208].

We have, using Albert Wilansky's language of duality invariant [6, Theorem 8-4-1, p.114],

**FACT 1 [2, Proposition 3.4.3, p.198]** Being closed convex is a duality invariant. That is, if  $\langle X, Y \rangle$  is a dual pair, then the closed convex sets of  $X$  are the same for all locally convex topologies on  $X$  which are compatible with the dual pair. Or said this way : If  $\langle X, Y \rangle$  is a dual pair, then all compatible topologies for  $X$  have the same closed convex sets. ///

We have immediately from this that

**FACT 2 [6, Remark 8-3-7, p.112]** Being a barrel is duality invariant. That is, if  $\langle X, Y \rangle$  is a dual pair, then all compatible topologies for  $X$  have the same barrels. In particular, being a barrel of an lcs  $(E, \tau)$  is a duality invariant. That is, all barrels of  $(E, \tau)$  are the barrels of any other  $(E, \tau^*)$  where  $\tau^*$  is compatible with  $\langle E, (E, \tau)' \rangle$ . ///

Hence, for a given dual pair  $\langle X, Y \rangle$ , we may simply talk of the barrels of  $\langle X, Y \rangle$ , since by FACT 2 any barrel of  $(X, \tau)$  for compatible  $\tau$  is also a barrel of  $(X, \tau^*)$  for any other compatible  $\tau^*$ .

#### 5.0 Topology of Uniform Convergence and PO-LAR Topology

Let  $\langle X, Y \rangle$  be a dual pair and  $\mathfrak{R}$  a collection of  $\sigma(Y, X)$ -bounded sets. i.e., bounded sets of the lcs  $(Y, \sigma(Y, X))$ . Then, the collection of polars (always absolute) [6, Definition 8-3-1, p.110][2, Definition 3.3.1, p.190]  $\mathfrak{R}^0 = \{ A^0 : A \in \mathfrak{R} \}$  is a collection of absolutely convex absorbing subsets of  $X$  [2, Proposition 3.3.1(e) and (f), p.190 and 191] and so by [2, Proposition 2.4.6, p.88][5, Last paragraph, p.167] furnishes  $X$  with a locally convex topology  $\tau_{uc(\mathfrak{R})}$  [Second paragraph, Section 3.4, p.195 of 2] called the topology of uniform convergence on the sets of  $\mathfrak{R}$ . If  $\mathfrak{R}$  is the collection of all  $\sigma(Y, X)$ -bounded sets,  $\tau_{uc(\mathfrak{R})}$  is called the strong topology and denoted  $\beta(X, Y)$ .  $\beta(Y, X)$  is also clear.

From the citation above [2, Proposition 2.4.6, p.88][5, Last paragraph, p.167] and [Second paragraph, Section 3.4, p.195 of 2] we have

**Fact 1** A base of neighbourhoods of zero of  $\tau_{uc(\mathfrak{R})}$  is

$$\{ \lambda_1 A_1^0 \cap \lambda_2 A_2^0 \cap \dots \cap \lambda_n A_n^0 : \lambda_1, \lambda_2, \dots, \lambda_n > 0, A_1, A_2, \dots, A_n \in \mathfrak{R} \}. ///$$

**Fact 2 [1, (37.28), p.154][2, last paragraph, p.195]** Let  $\langle X, Y \rangle$  be a dual pair and  $\mathfrak{R}$  a non-empty collection of non-empty  $\sigma(Y, X)$ -bounded sets. Then,

$$\tau_{uc(\mathfrak{R})} = \vee \{ \sigma_{p_A} : A \in \mathfrak{R}, p_A \text{ is a seminorm on } X \text{ defined by } p_A(x) = \sup_{a \in A} \{ |\langle x, a \rangle| \} \}.$$

**Proof** We give this for clarity. Let  $A \in \mathfrak{R}$ . Define  $p_A(x) = \sup_{a \in A} \{ |\langle x, a \rangle| \}, x \in X$ . One checks that  $p_A$  is a seminorm [2, last

paragraph, p.195]. *Proof* : Let  $x \in X$ . We first show that  $p_A(x) < \infty$ .  $\langle x, a \rangle = \hat{x}(a)$ .  $(Y, \sigma(Y, X))' = \hat{X}$ . By [6, Theorem 4-4-3, p.57],  $\hat{x}(A)$  is a bounded set of real numbers and so  $\sup_{a \in A} |\langle x, a \rangle| = \sup_{a \in A} |\hat{x}(a)| < \infty$ . Clearly,  $p_A(x) \geq 0$ . Let  $x, y \in X$ .

$$\text{Then, } p_A(x + y) = \sup_{a \in A} |\langle x + y, a \rangle| = \sup_{a \in A} |\langle x, a \rangle + \langle y, a \rangle| \leq \sup_{a \in A} \{ |\langle x, a \rangle| + |\langle y, a \rangle| \} \leq \sup_{a \in A} |\langle x, a \rangle| +$$

$$\sup_{a \in A} |\langle y, a \rangle| = p_A(x) + p_A(y).$$

Similarly,  $p_A(\lambda x) = |\lambda| p_A(x), \lambda \in \mathbf{K}$ . By FACT 1 the finite intersections of the sets  $\lambda A^0$ , where  $\lambda > 0$ , and  $A \in \mathfrak{R}$  form a base of neighbourhoods of zero of  $\tau_{uc(\mathfrak{R})}$ . Clearly, for  $\lambda > 0$ , and  $x \in X$ ,

$$\sup_{a \in A} \{ |\langle x, a \rangle| \} = p_A(x) \leq \lambda \Leftrightarrow x \in \lambda A^0.$$

From this follows, by taking finite intersections, that the topology generated by the  $p_A$ 's,  $\vee \{ \sigma_{p_A} :$

$A \in \mathfrak{R}$ }, has the same base of neighbourhoods of zero as  $\tau_{uc(\mathfrak{R})}$ . Hence,  $\tau_{uc(\mathfrak{R})} = \vee\{\sigma p_A : A \in \mathfrak{R}\}$ .

///

Albert Wilansky in [6, Definition 8-5-1, p.118] calls a non-empty collection  $\mathfrak{S}$  of non-empty  $\sigma(Y, X)$ -bounded subsets of  $Y$ ,  $\langle X, Y \rangle$  a dual pair, a *polar family* if the following two conditions are satisfied:

- (i) For  $A, B \in \mathfrak{S}$ , there exists  $C \in \mathfrak{S}$  such that  $A \cup B \subseteq C$ , and
- (ii) For  $D \in \mathfrak{S}$ , there exists  $E \in \mathfrak{S}$  such that  $E \supseteq 2D$ .

Clearly, from (i) follows that

- (i)' For  $A_1, A_2, \dots, A_n \in \mathfrak{S}$ ,  $n \in \mathbb{N} = \{1, 2, 3, \dots\}$ , there exists  $C \in \mathfrak{S}$  such that  $A_1 \cup A_2 \cup \dots \cup A_n \subseteq C$ .

The collection of polars  $\{A^0 : A \in \mathfrak{S}\}$  is an additive[6, Definition 4-2-1, p.40] filterbase of absolutely convex absorbing subsets of  $X$ [6, Theorem 8-5-3, p.119] and so by [6, Theorem 4-3-5, p.45] form a base of neighbourhoods of zero for a locally convex topology  $\tau_{p(\mathfrak{S})}$  on  $X$  called a *polar topology* of  $\langle X, Y \rangle$ . Of course,  $\mathfrak{S}$  being a collection of  $\sigma(Y, X)$ -bounded sets, it also furnishes  $X$  with a topology of uniform convergence  $\tau_{uc(\mathfrak{S})}$ . We have

**Claim 3**  $\tau_{p(\mathfrak{S})} = \tau_{uc(\mathfrak{S})}$ , and so  $\tau_{p(\mathfrak{S})}$  is a topology of uniform convergence. That is, a polar topology is a topology of uniform convergence.

**Proof:** From the preceding paragraph

$$\{A^0 : A \in \mathfrak{S}\} \quad \dots(\Delta)$$

is a base of neighbourhoods of zero of  $\tau_{p(\mathfrak{S})}$ . By FACT 1, the collection of sets

$$\{\lambda_1 A_1^0 \cap \lambda_2 A_2^0 \cap \dots \cap \lambda_n A_n^0 : \lambda_1, \lambda_2, \dots, \lambda_n > 0, A_1, A_2, \dots, A_n \in \mathfrak{R}, n \in \mathbb{N}\} \quad \dots(\Delta\Delta)$$

is a base of neighbourhoods of zero of  $\tau_{uc(\mathfrak{S})}$ . Clearly,  $(\Delta) \subseteq (\Delta\Delta)$ , and so

$$\tau_{p(\mathfrak{S})} \leq \tau_{uc(\mathfrak{S})} \quad \dots(*)$$

We establish the reverse inequality of (\*). Consider the typical member

$$\lambda_1 A_1^0 \cap \lambda_2 A_2^0 \cap \dots \cap \lambda_n A_n^0 \quad \dots(\Sigma)$$

of  $(\Delta\Delta)$ . By [1, (17.2), p.68], if  $\lambda = \min \{1/2, \lambda_1, \lambda_2, \dots, \lambda_n\}$ , then

$$\lambda A_1^0 \subseteq \lambda_1 A_1^0, \lambda A_2^0 \subseteq \lambda_2 A_2^0, \dots, \lambda A_n^0 \subseteq \lambda_n A_n^0,$$

and so

$$(\Sigma) \supseteq \lambda A_1^0 \cap \lambda A_2^0 \cap \dots \cap \lambda A_n^0$$

$$= \lambda(A_1^0 \cap A_2^0 \cap \dots \cap A_n^0)$$

$$= \lambda \left( \bigcap_{k=1}^n A_k^0 \right).$$

By the condition (i)' (defining a polar family) there exists  $A \in \mathfrak{S}$  such that  $A \supseteq \bigcup_{k=1}^n A_k$ , and so

$$A^0 \subseteq \left( \bigcup_{k=1}^n A_k \right)^0 = \bigcap_{k=1}^n A_k^0$$

Hence,  $\lambda \left( \bigcap_{k=1}^n A_k^0 \right) \supseteq \lambda A^0$ . That is,  $(\Sigma) \supseteq \lambda A^0$ . Clearly,  $\lambda A^0$  is a neighbourhood of zero of  $\tau_{p(\mathfrak{S})}$ , and so  $(\Sigma)$  is a neighbourhood

of zero of  $\tau_{p(\mathfrak{S})}$ . Since  $(\Sigma)$  was arbitrary, it follows that  $\tau_{p(\mathfrak{S})} \geq \tau_{uc(\mathfrak{S})}$  which is the reverse inequality of (\*) that we set out to prove. ///

We have a

**CRUCIALFACT 4 [6, 8-5-8, p.120].** Let  $\langle X, Y \rangle$  be a dual pair. A locally convex topology  $\tau$  on  $X$  is a polar topology of  $\langle X, Y \rangle$  if and only if  $\tau$  has a base of neighbourhoods of zero which are barrels of  $\langle X, Y \rangle$ . ///

**FACT 5** Let  $\langle X, Y \rangle$  be a dual pair.

- (i) If  $B$  is a barrel of  $\langle X, Y \rangle$ , so is  $\alpha B$  for any non-zero scalar  $\alpha$ . [For *absorbing*, note that  $\frac{x}{\alpha} \in \lambda B$  for all  $|\lambda| \geq \mu_x \Leftrightarrow x \in \lambda(\alpha B)$  for all  $|\lambda| \geq \mu_x$ ][2, Definition 2.6.1, p. 108].
- (ii) If  $B_1, B_2, \dots, B_n, n \in \mathbb{N}$ , are barrels of  $\langle X, Y \rangle$ , so is  $B_1 \cap B_2 \cap \dots \cap B_n$ .
- (iii) If  $\alpha_1, \alpha_2, \dots, \alpha_n, n \in \mathbb{N}$ , are non-zero scalars and  $B_1, B_2, \dots, B_n$  are barrels of  $\langle X, Y \rangle$ , so is  $\alpha_1 B_1 \cap \alpha_2 B_2 \cap \dots \cap \alpha_n B_n$ .

**Proof** (i) and (ii) are easily verified. [For absolute convexity apply directly the FACT [3, p.4] :  $A$  is absolutely convex  $\Leftrightarrow rA + sA \subseteq A$  for  $r, s$  scalars,  $|r| + |s| \leq 1$ .] Note also that an intersection of closed sets is closed [ ] (iii) is immediate from (i) and

(ii). ///

**CLAIM 6** A topology of uniform convergence is a polar topology.

**Proof 1:** Let  $\langle X, Y \rangle$  be a dual pair. Suppose  $\mathfrak{R}$  is a non-empty collection of non-empty  $\sigma(Y, X)$ -bounded sets. By FACT 2, employing the notation there, for  $A \in \mathfrak{R}$  the closed unit disc of  $p_A$ ,

$$Ucd-p_A = \{x \in X : p_A(x) \leq 1\}$$

is the polar  $A^0$  of  $A$ . By [2, Proposition 3.3.1, p.190/191],  $A^0$  is a barrel of  $\langle X, Y \rangle$ . By FACT 1 and FACT 5(iii), therefore,  $\tau_{uc(\mathfrak{S})}$  has a base of neighbourhoods of zero which are barrels of  $\langle X, Y \rangle$ . By the CRUCIAL FACT 4, therefore,  $\tau_{uc(\mathfrak{S})}$  is a polar topology of  $\langle X, Y \rangle$ . ///

**Proof 2:** Suppose  $\mathfrak{R}$  is a non-empty collection of non-empty  $\sigma(Y, X)$ -bounded sets. Let  $sc\mathfrak{R} = \{\lambda V : \lambda \in \mathbf{K}, V \in \mathfrak{R}\}$  be the collection of scalar multiples of members of  $\mathfrak{R}$ , and  $FU(sc\mathfrak{R})$  the collection of finite unions of members of  $sc\mathfrak{R}$ . By [2, Proposition 3.4.2(c) and (b), p.196],  $\tau_{uc(\mathfrak{R})} = \tau_{uc(sc\mathfrak{R})}$ , and  $\tau_{uc(sc\mathfrak{R})} = \tau_{uc(FU(sc\mathfrak{R}))}$ , and so

$$\tau_{uc(\mathfrak{R})} = \tau_{uc(FU(sc\mathfrak{R}))} \quad \dots(\Delta)$$

One checks easily that  $FU(sc\mathfrak{R})$  is a polar family as

- (i)  $(\lambda_1 A_1 \cup \lambda_2 A_2 \cup \dots \cup \lambda_n A_n) \cup (\mu_1 B_1 \cup \mu_2 B_2 \cup \dots \cup \mu_m B_m)$   
 $\subseteq \lambda_1 A_1 \cup \lambda_2 A_2 \cup \dots \cup \lambda_n A_n \cup \mu_1 B_1 \cup \mu_2 B_2 \cup \dots \cup \mu_m B_m$ , and
- (ii)  $2\lambda_1 A_1 \cup 2\lambda_2 A_2 \cup \dots \cup 2\lambda_n A_n \supseteq 2(\lambda_1 A_1 \cup \lambda_2 A_2 \cup \dots \cup \lambda_n A_n)$ ,

the  $A$ 's and  $B$ 's members of  $\mathfrak{R}$  and the  $\lambda$ 's and  $\mu$ 's scalars. By CLAIM 3,

$$\tau_{p(FU(sc\mathfrak{R}))} = \tau_{uc(FU(sc\mathfrak{R}))} \quad \dots(\Delta\Delta)$$

( $\Delta$ ) and ( $\Delta\Delta$ ) give

$$\tau_{uc(\mathfrak{R})} = \tau_{p(FU(sc\mathfrak{R}))}. ///$$

CLAIM 3 and CLAIM 6 assure us that a polar topology of a dual pair  $\langle X, Y \rangle$  is a topology of uniform convergence and vice-versa.

We have from CLAIM 3, CLAIM 6, FACT 4 and FACT 5, the following result which is of independent interest.

**THEOREM 7** Let  $\langle X, Y \rangle$  be a dual pair. The supremum  $\bigvee_{\alpha \in I} \tau_\alpha$ , of a collection  $\{\tau_\alpha : \alpha \in I\}$  of topologies on  $X$  of uniform convergence w.r.t.  $\langle X, Y \rangle$ , is a topology on  $X$  of uniform convergence. ///

We proceed to prove our

### 6.0 Main Theorem

That the topologies of uniform convergence are the topologies generated by collections of lower semicontinuous seminorms.

Let  $(X, \tau)$  be a topological space,  $f : (X, \tau) \rightarrow \mathbb{R}$  a real function and  $a \in X$ .  $f$  is said to be *lower semicontinuous at a* [4, Exercise 4, p.132] if for each real  $\alpha$  with  $\alpha < f(a)$  there exists a neighbourhood  $V_{\alpha a}$  of  $a$  such that  $f(x) > \alpha$  for all  $x \in V_{\alpha a}$ .  $f$  is called *lower semicontinuous* if it is lower semicontinuous at every  $a \in X$ . We have from [4, Exercise 4(b) p.132] that  $f$  is lower semicontinuous if and only if the set  $\{x \in X : f(x) > \alpha\}$  is open in  $(X, \tau)$  for each  $\alpha \in \mathbb{R}$ . Immediate from this is

**FACT 1** [2, Exercise 3.6.1(a), p.219][6, Problem 8-3-113, p.113]. For topological space  $(X, \tau)$ , real-valued  $f : (X, \tau) \rightarrow \mathbb{R}$  is lower semicontinuous if and only if the set  $\{x \in X : f(x) \leq \alpha\}$  is closed in  $(X, \tau)$  for each  $\alpha \in \mathbb{R}$ . ///

Now we have

**THEOREM 2** [2, Exercise 3.6.1(b), p.219] Let  $(E, \tau)$  be a lcs and  $p : E \rightarrow \mathbb{R}$  a seminorm on  $E$ . Then, the closed unit disc of  $p$ ,  $Ucd-p = \{x \in E : p(x) \leq 1\}$  is a barrel of the dual pair  $\langle E, E' \rangle \Leftrightarrow p$  is lower semicontinuous for any compatible topology on  $E$  for the dual pair  $\langle E, E' \rangle$ .

**Proof** By [3, Proposition 1.4.6, p.13][2, Last Paragraph, p.88][6, Problem 2-1-101, p.17] the closed unit disc of  $p$ ,  $Ucd-p = \{x \in E : p(x) \leq 1\}$  is absolutely convex and absorbing for any seminorm  $p$  at all. Therefore, from FACT 1  $\Leftarrow$  is now immediate.

Now for  $\Rightarrow$ . We verify FACT 1 for  $p$  in place of  $f$  there.

I. If  $\alpha < 0$ , then  $\{x \in E : p(x) \leq \alpha\} = \emptyset$ . The empty set is a closed set in any topological space.

II. Suppose  $\alpha = 0$ . By hypothesis,  $Ucd-p = \{x \in E : p(x) \leq 1\}$  is a barrel of the dual pair  $\langle E, E' \rangle$ . And so,  $\frac{1}{n} Ucd-p = \frac{1}{n} \{x \in E : p(x) \leq 1\} = \{x \in E : p(x) \leq \frac{1}{n}\}$  is a barrel for all  $n \in \mathbb{N}$ , of the dual pair  $\langle E, E' \rangle$ , by FACT 4.5(i), and hence closed for all  $n \in \mathbb{N}$  in any compatible topology on  $E$  of the dual pair. Since the intersection of closed sets is closed, so is

$$\bigcap_{n=1}^{\infty} \frac{1}{n} Ucd-p = \bigcap_{n=1}^{\infty} \{x \in E : p(x) \leq \frac{1}{n}\}.$$

But

$$\bigcap_{n=1}^{\infty} \{x \in E : p(x) \leq \frac{1}{n}\} = \{x \in E : p(x) = 0\}.$$

So,  $\{x \in E : p(x) \leq 0\} = \{x \in E : p(x) = 0\}$  is closed.

III. Suppose  $\alpha > 0$ .  $Ucd-p$  being by hypothesis a barrel of the dual pair  $\langle E, E' \rangle$  is closed in any compatible topology on  $E$  for  $\langle E, E' \rangle$ , and so, by FACT 4.5(i),  $\alpha Ucd-p$  being still a barrel is closed. But

$$\alpha Ucd-p = \{x \in E : p(x) \leq 1\} = \{x \in E : p(x) \leq \alpha\}.$$

Thus, we have shown that for all  $\alpha \in \mathbb{R}$ , and in any compatible topology on  $E$  for the dual  $\langle E, E' \rangle$ ,  $\{x \in E : p(x) \leq \alpha\}$  is closed, and so by FACT 1,  $p$  is lower semicontinuous. ///

We now have

**FACT 3** Lower semicontinuous seminorms are duality invariant. And so we can talk of the lower semicontinuous seminorms of a dual pair.

**Proof** Immediate! But a proof will only be repetitive. Perhaps for emphasis, let  $\langle X, Y \rangle$  be a dual pair, and suppose  $\tau^*$  is a topology on  $X$  compatible with this dual pair. Let  $p$  be a seminorm on  $X$ . By THEOREM 2,  $p$  is  $(X, \tau^*)$ -lower semicontinuous  $\Leftrightarrow Ucd-p = \{x \in E : p(x) \leq 1\}$  is a barrel of  $(X, \tau^*)$ . If  $\tau^{**}$  is any other compatible topology on  $X$  for the dual pair  $\langle X, Y \rangle$ ,  $Ucd-p = \{x \in E : p(x) \leq 1\}$  is also a barrel of  $(X, \tau^{**})$

being a barrel of  $(X, \tau^*)$  already [FACT 3.1], and so by THEOREM 2 again  $p$  is  $(X, \tau^{**})$ -lower semicontinuous. Hence, the lower semicontinuity of  $p$  is  $\langle X, Y \rangle$ -duality invariant. ///

Now, the

**MAIN THEOREM 4** Let  $\langle X, Y \rangle$  be a dual pair. The topologies of uniform convergence w.r.t  $\langle X, Y \rangle$  are the topologies generated by collections of lower semi continuous seminorms of  $\langle X, Y \rangle$ .

**Proof** Let  $P$  be a collection of lower semicontinuous seminorms of  $\langle X, Y \rangle$ . By THEOREM 2, therefore,  $Ucd-p = \{x \in E : p(x) \leq 1\}$ , for  $p \in P$ , is a barrel of  $\langle X, Y \rangle$ . i.e., is a barrel of  $(X, \tau)$  for any topology  $\tau$  on  $X$  compatible with  $\langle X, Y \rangle$ . And so by FACT 4.5(i),  $\varepsilon Ucd-p$ , for  $\varepsilon > 0$ , is a barrel of  $\langle X, Y \rangle$ . But  $\{\varepsilon Ucd-p : \varepsilon > 0\}$  is a base of neighbourhoods of zero of  $\sigma p$  and so, since  $\sigma P = \vee \{\sigma p : p \in P\}$ , the sets

$$\left. \begin{aligned} \varepsilon_1 Ucd-p_1 \cap \varepsilon_2 Ucd-p_2 \cap \dots \cap \varepsilon_n Ucd-p_n : p_1, p_2, \dots \\ \dots, p_n \in P, \varepsilon_1, \varepsilon_2, \dots, \varepsilon_n > 0, n \in \mathbb{N} \end{aligned} \right\} \dots(\Delta)$$

constitute a base of neighbourhoods of zero of  $\sigma P$ . By FACT 4.5(iii), members of  $(\Delta)$  are also barrels of  $\langle X, Y \rangle$ . Thus,  $\sigma P$  has a base of neighbourhoods of zero which are barrels of  $\langle X, Y \rangle$ . By FACT 4.4, therefore,  $\sigma P$  is a polar topology and so by CLAIM 4.3, a topology of uniform convergence. This concludes the proof of the implication  $\Leftarrow$ .

For  $\Rightarrow$  suppose  $\mathfrak{R}$  is a non-empty collection of non-empty  $\sigma(Y, X)$ -bounded sets of  $Y$ , and so consider the topology  $\tau_{uc(\mathfrak{R})}$  on  $X$  of uniform convergence on the sets of  $\mathfrak{R}$ . By FACT 4.2,

$$\tau_{uc(\mathfrak{R})} = \vee \{\sigma p_B : B \in \mathfrak{R}, p_B \text{ is the seminorm defined by } p_B(x) = \sup_{b \in B} \{ | \langle x, b \rangle | \} \}, \dots(\text{TUC})$$

and, for  $B \in \mathfrak{R}$ ,

$$Ucd-p_B = B^0$$

By [2, Proposition 3.3.1(e) and (f); p.190],  $B^0$  is a barrel of  $\langle X, Y \rangle$ , and so by THEOREM 2,  $p_B$  is a lower semicontinuous seminorm of  $\langle X, Y \rangle$ . Hence,  $\tau_{uc(\mathfrak{R})}$  is generated by a collection  $\{ p_B : B \in \mathfrak{R} \}$  of lower semicontinuous seminorms of  $\langle X, Y \rangle$ . ///

**FACT 5** For a dual pair  $\langle X, Y \rangle$ , there is a finest topology of uniform convergence, which is  $\sigma P$ , where  $P$  is **the** collection of **all the** lower semicontinuous seminorms of  $\langle X, Y \rangle$ .

**Proof** Immediate from MAIN THEOREM 4. ///

**FACT 6** [1, (37.28)(iii), p.154][2, Definition 3.4.2, p.201][6, Paragraph between 8-5-4 and 8-5-5, p.119].  $\beta(X, Y)$  is the finest topology of uniform convergence of the dual pair  $\langle X, Y \rangle$ .

**Proof** If  $\mathfrak{R}$  and  $\mathfrak{R}'$  are non-empty collections of non-empty  $\sigma(X, Y)$ -bounded sets, and  $\mathfrak{R} \subseteq \mathfrak{R}'$ , then it is clear from (TUC) preceding FACT 5 that  $\tau_{uc(\mathfrak{R})} \leq \tau_{uc(\mathfrak{R}')}$

Immediate from FACT 5, FACT 6 and CLAIMS 4.3 and 4.6 is

**IMPORTANT CONSEQUENCE 7** For a dual pair  $\langle X, Y \rangle$ ,

$$\beta(X, Y) = \vee \{\sigma p : p \text{ is a lower semicontinuous seminorm of } \langle X, Y \rangle\}.$$

That is,  $\beta(X, Y)$  is generated by the collection of **all** lower semicontinuous seminorms. ///

## 7.0 Barrelledness And Lower Semicontinuous SEM-INORMS

We recall that a lcs is called a *barrelled space* if every barrel of the space is a neighbourhood of zero[6, Definition 9-3-1,

p.136]. The only theorem that we wish to prove in this section is

**THEOREM 1** A lcs is barrelled if and only if every lower semicontinuous seminorm is continuous.

A proof of this theorem is difficult to locate in the literature. Indeed, Albert Wilansky and John Horvath stated this theorem as exercises in their books [6, Problem 9-3-105, p.139][2, Exercise 3.6.1(c), p.219]. We furnish two proofs here. First some lemmas.

**LEMMA 2** [6, Lemma 7-2-1, p.94][5, Lemma 11.2, p.106]. Let  $p$  be a seminorm on the topological vector space  $(E, \tau)$ . Then,  $p$  is continuous  $\Leftrightarrow Ucd-p = \{x \in E : p(x) \leq 1\}$  is a neighbourhood of zero in  $(E, \tau)$ . ///

**LEMMA 3** Let  $B$  be a barrel of the lcs  $(E, \tau)$  and  $q_B$  the Minkowski functional [2, paragraph following Example 2.4.22, p.94][5, Section II.12, p.111-114][(\*) of the proof of Theorem (37.4) of [1], p.146] of  $B$ . Then,

$$Ucd-q_B \{x \in E : q_B(x) \leq 1\} = B \quad \dots(*)$$

and since  $B$  is a closed set,  $Ucd-q_B$  is a closed set in  $(E, \tau)$ , and so by THEOREM 5.2,  $q_B$  is a lower semicontinuous seminorm on  $(E, \tau)$ .

**Proof** Only (\*) need be proved. The proof is a careful adaptation of some parts of the proof of [1, Theorem (37.4), p.146]. Let  $x \in E$  and since  $B$  is absorbing, being a barrel, let

$$\mathbb{R}^+_B(x) = \{\alpha > 0 : x \in \alpha B\}$$

and so  $q_B(x) = \inf \mathbb{R}^+_B(x)$ .

Suppose  $x_0 \in B$ . Then,  $x_0 \in B = 1 \cdot B$ , from which follows that  $q_B(x_0) \leq 1$ , and so  $x_0 \in \{x \in E : q_B(x) \leq 1\} = Ucd-q_B$ . This proves the inclusion  $\supseteq$ . For  $\subseteq$ , suppose  $x_0 \in Ucd-q_B = \{x \in E : q_B(x) \leq 1\}$ . Hence,  $q_B(x_0) \leq 1$ . i.e.,  $\inf \mathbb{R}^+_B(x_0) \leq 1$ . If  $\beta > 1 \geq \inf \mathbb{R}^+_B(x_0) = q_B(x_0)$ , then there exists  $\mu \in \mathbb{R}^+_B(x_0)$  such that  $\inf \mathbb{R}^+_B(x_0) \leq \mu < \beta$ . And so,  $x_0 \in \mu B$  and since by [1, (17.2), p.68]  $\mu B \subseteq$

$\beta B$ , it follows that  $x_0 \in \beta B$ . Hence,  $\beta^{-1}x_0 \in B$ . But  $\beta$  was arbitrary. And we have thus shown that  $\beta^{-1}x_0 \in B$  for all  $\beta > 1$ .

By the continuity of the partial maps[2, Last sentence of the first paragraph p.74]  $\beta^{-1}x_0 \rightarrow x_0$  as  $\beta \rightarrow 1$ , and so  $x_0$  belongs to the closure of  $B$ , which is  $B$  since  $B$  is closed. ///

Now to a

**Proof of THEOREM 1  $\Rightarrow$ :** Let  $(E, \tau)$  be a barreled lcs and  $p$  a seminorm on  $(E, \tau)$ . Suppose  $p$  is lower semicontinuous. By THEOREM 5.2,  $Ucd-p = \{x \in E : p(x) \leq 1\}$  is a barrel, and so since  $(E, \tau)$  is a barreled space,  $Ucd-p$  is a neighbourhood of zero. By LEMMA 2, therefore,  $p$  is continuous.

**$\Leftarrow$ :** Suppose every lower semicontinuous seminorm  $p : (E, \tau) \rightarrow \mathbb{R}$  on the lcs  $(E, \tau)$  is continuous. Let  $B$  be a barrel of  $(E, \tau)$ . By LEMMA 3, its Minkowski functional  $q_B$  is lower semicontinuous, and so, by hypothesis, continuous. Since also by LEMMA 3,

$$Ucd-q_B = \{x \in E : q_B(x) \leq 1\} = B,$$

and by the continuity of  $q_B$ ,  $\{x \in E : q_B(x) \leq 1\}$  is a neighbourhood of zero[LEMMA 2] of  $(E, \tau)$ , it follows that  $B$  is a neighbourhood of zero of  $(E, \tau)$ . Since  $B$  was arbitrary,  $(E, \tau)$  is barreled. ///

**Another Proof of THEOREM 1** By [6, Theorem 9-3-10, p.138] lcs  $(E, \tau)$  is barreled  $\Leftrightarrow \tau = \beta(E, E')$ . The forward implication in THEOREM 1 now follows from IMPORTANT CONSEQUENCE 5.7 and [6, Problem 4-5-1, p. 55]. For the reverse implication, suppose that every lower semicontinuous seminorm  $p$  on lcs  $(E, \tau)$  is continuous. Again by [6, Problem 4-5-1, p.55,  $\sigma p \leq \tau$ . By the IMPORTANT CONSEQUENCE 5.7, therefore,  $\beta(E, E') \leq \tau$ . But, in general,  $\tau \leq \beta(E, E')$ , and so,  $\tau = \beta(E, E')$ . Hence, by [6, 9-3-10, p.138] again,  $(E, \tau)$  is barreled. ///

While THEOREM 6.1 is well-known, even with a proof difficult to locate in the literature, IMPORTANT CONSEQUENCE 5.7 and our MAIN THEOREM 5.4 are unknown. We discuss elsewhere some consequences of our MAIN THEOREM.

## 8.0 References

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